



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/622,400	07/18/2003	Lewis Conrad Keller	CFLAY.00212	4684
22858	7590	05/25/2006		EXAMINER
CARSTENS & CAHOON, LLP				BECKER, DREW E
P O BOX 802334				
DALLAS, TX 75380			ART UNIT	PAPER NUMBER
			1761	

DATE MAILED: 05/25/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

✓

Office Action Summary	Application No.	Applicant(s)
	10/622,400	KELLER ET AL.
	Examiner Drew E. Becker	Art Unit 1761

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 20 March 2006.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1-12 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ . |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ . | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| | 6) <input type="checkbox"/> Other: _____ . |

DETAILED ACTION

Terminal Disclaimer

1. The terminal disclaimer filed on 2/28/06 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of Pat. No. 6,620,448 has been reviewed and is accepted. The terminal disclaimer has been recorded.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Keller [Pat. No. 4,869,911] in view of Weinstein et al [Pat. No. 5,639,485].
Keller teaches a method comprising the steps of plasticizing a farinaceous food mixture containing 5-17% plasticizer (column 2, line 22) including monosaccharides, polysaccharides, and alcohols (column 3, lines 12-51), a moisture content of 9-17% (column 2, line 25), directing the flow to a central passage of a co-rotating twin screw extruder (column 4, lines 8-17), extruding the flow through a nozzle (column 4, line 51), the product having a moisture content of 4-8% and water activity level of 0.30-0.45 (column 2, line 35), the plasticizer including 4-6% corn syrup solids, 0.5-2.0% sucrose, 3-6% polydextrose, and 0.5-2.5% glycerol (column 3, lines 53-59), 6-15% plasticizer

(column 4, line 1), corn meal (column 3, line 3), and a reduction in cross-sectional area of about 9.2:1 (column 4, lines 51-63). Keller does not recite imparting a cleft and injecting a fluid additive, a die insert with a capillary channel and peripheral reservoir manifold, and a fluid supply port and fluid additive source. Weinstein et al teach a method of extruding complex patterns by using a die insert to impart a cleft (Figure 2, #20), injecting a fluid additive into the cleft (Figure 4, #48), capillary channels (Figure 3, #52, 54, 56), a peripheral reservoir (Figure 4, #58), and a fluid supply port and source (Figure 2, #18). It would have been obvious to one of ordinary skill in the art to incorporate the fluid injection means of Weinstein et al into the invention of Keller since both are directed to methods of extruding farinaceous materials, since Keller already included the concept of co-extrusion (column 5, lines 17-35), and since the fluid injection means of Weinstein et al provided an effective means for providing multi-colored food product with complex patterns which were valued by consumers (column 1, lines 5-34).

4. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Keller, in view of Weinstein et al, as applied above, and further in view of Parsons et al [Pat. No. 6,509,049].

Keller and Weinstein et al teach the above mentioned concepts. Keller and Weinstein et al do not recite a static mixer. Parsons et al teach a food extruder including static mixer elements (Figure 1, #46). It would have been obvious to one of ordinary skill in the art to incorporate the static mixer of Parsons et al into the invention of Keller, in view of Weinstein et al, since all are directed to methods of extruding foods, since Weinstein et

al was directed to making complex patterns in the extruded product (abstract), and since the static mixer elements of Parsons et al would have provided a means for making a swirled or marbled effect (column 7, line 13).

Response to Arguments

5. Applicant's arguments filed 2/28/06 have been fully considered but they are not persuasive.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., the exclusion of interstitial gaps) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicant argues that Weinstein et al did not recite the cross-sectional area of claims 8-9. However, the main reference of Keller clearly taught the claimed limitation (column 4, lines 56-63).

In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a

reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

Conclusion

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Drew E. Becker whose telephone number is 571-272-1396. The examiner can normally be reached on Mon.-Fri. 8am to 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Milton Cano can be reached on 571-272-1398. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Drew Becker
DREW BECKER
PRIMARY EXAMINER

S-24-06